



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

61P-2762  
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JUN 26 2001  
Technology Center 2100

In re application of: Joseph P. Bigus et al.

Serial No.: 09/431,833

Date: June 19, 2001

Filed: November 2, 1999

Group No.: 2762

For: INTELLIGENT AGENT WITH  
NEGOTIATION CAPABILITY AND  
METHOD OF NEGOTIATION  
THEREWITH

Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

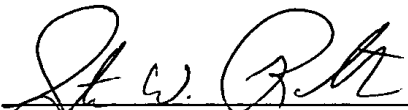
Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Docket No.: RO996-054LB  
Serial No.: 09/431,833

The references listed herein were cited in a search report by a foreign patent office, a copy of which is attached hereto. If any references listed herein are in a language other than English, said foreign search report is submitted in satisfaction of the requirements of 37 C.F.R. 1.98(a)(3). Unless a translation accompanies this Statement, no written English-language translation of any non-English language document, or portion thereof, listed herein, is within the possession custody or control of, or is readily available to any individual designated in 37 C.F.R. 1.56(c).

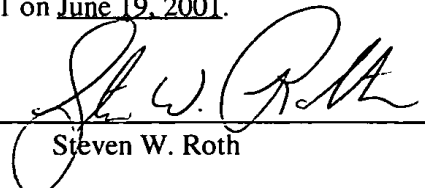
This Information Disclosure Statement is being filed under 37 C.F.R. 1.97© before a final action or notice of allowance. No filing fee is required because each item of information contained herein was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement (certification provided below).

Respectfully submitted,

By   
Steven W. Roth  
Attorney Reg. No.: 34,712  
IBM Corporation - Department 917  
3605 Highway 52 North  
Rochester, Minnesota 55901-7829  
Telephone: 1-507-253-1600

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CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on June 19, 2001.

  
Steven W. Roth

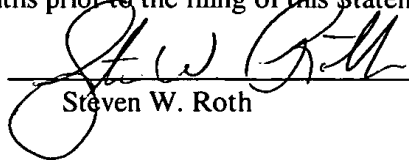
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Date

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CERTIFICATION

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Steven W. Roth

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Serial No.: 09/431,833

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## \*\*\* Information Materials for IDS \*\*\*

To ROC IP Law Docket Number ROC9-1996-0054 Date May 9, 2001Prepared by Jiro Yamamoto Date of JPO Office Action April 2, 2001**Applied Art** (The following reference(s) were cited by JPO Examiner as Prior art to the following JP claims)

Ref.	Patent Document No. or Title	Publication Date (MM/DD/YY)	English abs. or counterpart document available (Y/N)	JP claim(s)
A	"Price Forming Simulation in Electrical Market" Information Processing Society Memoir, Vol. 95, No. 105 (95-AI-102), pp. 1~6, Tetsuya UCHIKI, Faculty of Business Administration, Toyo University  (In Japanese)	11/7/95	Y	1, 3, 6, 9, 19 21, 27~30

## &lt;NOTE&gt;

to be continued ☐

◇ PUPA : Published Unexamined Patent Application

◇ PEPA : Published Examined Patent Application

◇ PUUMA : Published Unexamined Utility Model Application

◇ PEUMA : Published Examined Utility Model Application

◇ JP : Japanese Patent

◇ \* : Reference being filed before and published after the priority application date of the subject docket

**Background Art** (The following reference(s) were cited but not applied to the JP claims.)

Ref.	Patent Document No. or Title	Ref.	Patent Document No. or Title
bgA		bgD	
bgB		bgE	
bgC		bgF	

Comment, if any :

to be continued ☐

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